

11/20/01



JC377 U.S. PTO

09/988811

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

17331-0010

Named Inventor(s)

Steele, Nick  
Hawkins, Stan  
Maranville, Joe  
Bradnan, Andrew

Title

INITIATION OF AN INFORMATION  
TRANSACTION OVER A NETWORK VIA A  
WIRELESS DEVICE

VIA HAND-DELIVERY

OIPE Customer Serv. Center Window

1046 U.S. PTO  
09/988811



11/20/01

## APPLICATION ELEMENTS

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

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|--|---|
| <p>1. <input type="checkbox"/> Fee Transmittal Form<br/>(Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification, Claims,<br/>and Abstract <span style="float: right;">Total Pages <b>64</b></span></p> <p>4. <input checked="" type="checkbox"/> Drawings <span style="float: right;">Total Sheets <b>13</b><br/>Total Pages <b>77</b></span></p> <p>5. Oath or Declaration</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d))<br/>(for continuation/divisional with Box 19<br/>completed)</p> <p>(i) <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting<br/>inventor(s) named in the prior<br/>application, see 37 CFR 1.63(d)(2)<br/>and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation by Reference<br/>(usable if Box 5b is checked)</p> <p>7. <input checked="" type="checkbox"/> Nonpublication Request Under 35 U.S.C.<br/>122(b)(2)(B)(i).<br/><input checked="" type="checkbox"/> Form PTO/SB/35</p> <p>8. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>9. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br/>Computer Program (Appendix)</p> | <p>10. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence<br/>Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statement verifying identity of above<br/>copies</p> <p>11. <input type="checkbox"/> Assignment:</p> <p>a. <input type="checkbox"/> Assignment Papers (cover sheet &amp;<br/>document(s))</p> <p>b. <input type="checkbox"/> Assignment is of record in parent<br/>application No. _____</p> <p>12. <input type="checkbox"/> 37 CFR 3.73(b) Statement<br/>(when there is an assignee)<br/><input type="checkbox"/> Power of Attorney by assignee</p> <p>13. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>14. <input type="checkbox"/> Information Disclosure Statement (IDS) PTO-<br/>1449<br/><input type="checkbox"/> Copies of IDS Citations</p> <p>15. <input type="checkbox"/> Preliminary Amendment</p> <p>16. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/>(Should be specifically itemized)</p> <p>17. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input type="checkbox"/> Other: _____</p> |
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19. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information and in a preliminary amendment, or in an Application Date Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior applications: (1) **Attorney Docket No. 17331-0009, filed 11/07/01;** (2) **09/974,766;** (3) **09/933,567;** and (4) **09/923,285**

Prior application information: Examiner: **Unassigned**

Group Art Unit: **Unassigned**

Complete Dependency: The present application claims the benefit of U.S. Provisional Application No. 60/245,867 filed November 7, 2000, and is further a continuation-in-part of each of the following co-pending applications: (1) U.S. Application No. ----- (Attorney Docket No. 17331-0009) filed 11/07/01 (which claims the benefit U.S. Provisional Application No. 60/245,867 filed November 7, 2000); (2) U.S. Application No. 09/974,766 filed October 9, 2001 (which claims the benefit U.S. Provisional Application No. 60/238,847 filed October 6, 2000); (3) U.S. Application No. 09/933,567 filed August 20, 2001 (which claims the benefit of U.S. Provisional Application No. 60/226,117, filed August 18, 2000); and (4) U.S. Application Ser. No. 09/923,285 filed on August 6, 2001 (which claims the benefit of U.S. Provisional Patent Application Serial No. 60/223,232, filed August 4, 2000)

20. CORRESPONDENCE ADDRESS:

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29052

PATENT &amp; TRADEMARK OFFICE

AO 644188.1


0998811-12001  
100211-128855

<b>NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(b)(i)</b>	<i>Attorney Docket No.</i>	<b>17331-0010</b>
	<i>First Named Inventor(s)</i>	<b>Steele, Nick</b>
	<i>Title</i>	<b>INITIATION OF AN INFORMATION TRANSACTION OVER A NETWORK VIA A WIRELESS DEVICE</b>

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122 (b).

Dated: November 19, 2001

  
Michael S. Pavento      Reg. No. 42,985  
Attorney for Applicant

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

PTO/SB/35 (11-00)

Approved for use through 10/31/2002